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# UNITED STATES BANKRUPTCY COURT SOUTHERN DISTRICT OF NEW YORK

SECURITIES INVESTOR PROTECTION CORPORATION,

Plaintiff-Applicant,

v.

BERNARD L. MADOFF INVESTMENT SECURITIES, LLC,

Defendant.

In re:

BERNARD L. MADOFF INVESTMENT SECURITIES LLC,

Debtor.

IRVING H. PICARD, Trustee for the Liquidation of Bernard L. Madoff Investment Securities LLC,

Plaintiff,

V.

HELENE SAREN-LAWRENCE,

Defendant.

Adv. Pro. No. 08-01789 (SMB)

SIPA Liquidation

(Substantively Consolidated)

Adv. Pro. No. 10-04898 (SMB)

## TRUSTEE'S REQUEST TO ENTER DEFAULT

To: CLERK OF THE COURT

UNITED STATES BANKRUPTCY COURT

Irving H. Picard (the "Trustee"), as trustee for the liquidation of the business of Bernard L. Madoff Investment Securities, LLC under the Securities Investor Protection Act, 15 U.S.C. §§ 78aaa, et seq., and Bernard L. Madoff, by and through his counsel, Baker & Hostetler LLP, respectfully requests that the Clerk of the Court issue a Certificate of Default against defendants Helene Saren Lawrence pursuant to Rule 55(a) of the Federal Rules of Civil Procedure, made applicable to this Adversary Proceeding by Rule 7055 of the Federal Rules of Bankruptcy Procedure, for failure to plead or otherwise defend the above-captioned action as it fully appears from the Court file and from the attached Affidavit.

WHEREFORE, the Trustee respectfully requests that this Court grant the Trustee's Motion in its entirety and provide for such other relief as this Court deems just and proper.

Dated: New York, New York February 26, 2015 Respectfully submitted,

Of Counsel:

BAKER & HOSTETLER LLP

811 Main Street, Suite 1100 Houston, Texas 77002-0518 Telephone: 713.751.1600 Facsimile: 713.751.1717

Dean D. Hunt

Email: dhunt@bakerlaw.com

/s/ Nicholas J. Cremona

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## UNITED STATES BANKRUPTCY COURT SOUTHERN DISTRICT OF NEW YORK

SECURITIES INVESTOR PROTECTION CORPORATION,

Plaintiff-Applicant,

V.

BERNARD L. MADOFF INVESTMENT SECURITIES, LLC,

Defendant.

In re:

BERNARD L. MADOFF INVESTMENT SECURITIES LLC,

Debtor.

IRVING H. PICARD, Trustee for the Liquidation of Bernard L. Madoff Investment Securities LLC,

Plaintiff,

٧.

HELENE SAREN-LAWRENCE,

Defendant.

Adv. Pro. No. 08-01789 (SMB)

SIPA Liquidation

(Substantively Consolidated)

Adv. Pro. No. (SMB)

# AFFIDAVIT SUPPORTING ENTRY OF DEFAULT

STATE OF TEXAS	)
	) ss
COUNTY OF HARRIS	)

Farrell Hochmuth, being duly sworn, hereby attests as follows:

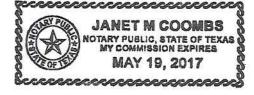
- 1. I was admitted pro hac vice into this Court and am a partner at the firm of Baker & Hostetler LLP, which is counsel for Irving H. Picard ("Trustee"), Trustee for the substantively consolidated liquidation of the business of Bernard L. Madoff Investment Securities LLC ("BLMIS") under the Securities Investor Protection Act, 15 U.S.C. §§ 78aaa, et seq. ("SIPA") and the estate of Bernard L. Madoff, individually.
- 2. On December 2, 2012, the Trustee commenced this adversary proceeding by filing a complaint (the "Complaint") against Helene Saren-Lawrence (the "Defaulting Defendant"). (Dkt. No. 1.) The Complaint asserted claims pursuant to sections 78fff(b), 78FFF-1(a) and 78fff-2(c)(3) of SIPA, sections 105(a), 544, 548(a), 550(a), and 551 of the United States Bankruptcy Code, 11 U.S.C. §§ 101, et seq., and other applicable law, seeking the avoidance and recovery of fraudulent transfers in connection with certain transfers of property by BLMIS to or for the benefit of the Defaulting Defendant. (*Id.*).
- 3. On February 18, 2011, the Clerk of this Court issued a summons upon the Defaulting Defendant. (Dkt. No. 3).
- 4. On February 18, 2011, the Trustee, in accordance with Bankruptcy Rule 7004(b) of the Federal Rules of Bankruptcy Procedure, timely served the Summons and Complaint upon the Defaulting Defendant. (See Dkt. Nos. 3 and 4). An Affidavit of Service evidencing proper and timely service was filed with the Court. (See Ex. A., Affidavit of Service; Dkt. No. 4).

- 5. Pursuant to the Order (1) Establishing Litigation Case Management Procedures For Avoidance Actions And (2) Amending The February 16, 2010 Protective Order governing the litigation of certain avoidance actions, including this adversary proceeding, the time by which the Defaulting Defendant may answer or otherwise move with respect to the Complaint was set to expire on April 19, 2011. (See Dkt. No. 3).
- 6. On April 3, 2012, the Trustee and the Defaulting Defendant stipulated and agreed that the time by which the Defaulting Defendant may answer or otherwise respond to the Complaint would be June 15, 2012. The Trustee filed a Notice of Extension with this Court on April 3, 2012. (See Dkt. No. 15).
- 7. Despite being duly served with the Summons and Complaint and being given an extension to answer or otherwise respond to the Complaint, the Defaulting Defendant did not file an answer, move, or otherwise respond to the Complaint on or before June 15, 2012.
- Upon information and belief, the Defaulting Defendant is neither an infant nor incompetent.
- 9. On February 25, 2015, I performed a search on the Department of Defense Manpower Data Center (DMDC). Upon searching the information data banks of DMDC, the DMDC does not possess any information indicating that Defaulting Defendant Helene Saren-Lawrence is currently on active duty as to all branches of the Military.
- 10. I declare under penalty of perjury that the foregoing is true and accurate to the best of my knowledge, information and belief.

Farrell Hochmuth

Sworn to before me this 25th day of February, 2015

Motary Public, State of Texas
My Commission Expires On 5/19/17



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### UNITED STATES BANKRUPTCY COURT Southern District of New York

SECURITIES INVE	ESTOR PROTECTION	Adv. Pro. No. 08-01789 (BRL)	
	Plaintiff-Applicant,	SIPA LIQUIDATION	
v. BERNARD L. MAI SECURITIES LLC.	OOFF INVESTMENT	(Substantively Consolidated)	
200	Defendant.		
In re:			
BERNARD L. MAI		Case No. 09-11893 (BRL)	
	Debtor.		
	D. Trustee for the Liquidation ff Investment Securities LLC,	Adv. Pro. No. 10-04898 (BRL)	
v.	Plaintiff,		
HELENE SAREN-I	LAWRENCE,		
	Defendant.		
	AFFIDAVIT OF SERV	VICE	
STATE OF NEW Y	ORK )		
COUNTY OF NEW	YORK ) ss:		
I, Yan Fayerman de	clare:		
1. 1	am over the age of 18 years and not a party to thes	se chapter 11 cases.	
	am employed by Donlin, Recano & Company, Inc., 419 Park Avenue South, Suite 1206, New York, NY 10016.		
3. O	n the 18th day of February, 2011, I caused a true a	18th day of February, 2011, I caused a true and accurate copy of the:	
(i)	"Complaint", along with the relevant exhibits (Docket No. 1); and the		
(ii	"Notice of Applicability of the Order Applicabil		

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(iii) "Summons and Notice of Pretrial Conference in An Adversary Proceeding" (<u>Docket No.</u> 3); and the

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- "Order (1) Establishing Litigation Case Management Procedures for Avoidance Actions and (2) Amending the February 16, 2010 Protective Order" dated November 11, 2010; and the
- (v) "Avoidance Action Executive Summary Letter dated December 20, 2010"; and the
- (vi) "Third Amended Notice of Omnibus Avoidance Action Hearing Dates",

to be served upon the parties listed on Exhibit 1, attached hereto, via First Class US Mail.

- Said documents were securely enclosed in postage prepaid envelopes and delivered to an office of the United States Postal Service for delivery by First Class Mail.
- I declare under penalty of perjury that the foregoing is true and correct to the best of my personal knowledge. Executed this 18th day of February, 2011 at New York, New York.

By <u>Fleyer Turely</u> Yan fizyerman

Sworn before me this

18th day of February, 2011

Notary Public

ROBERT ROTMAN

NOTARY PUBLIC STATE OF NEW YORK

NEW YORK COUNTY

LIC. #02RQ62\(\frac{1}{2}\)1735

COMM. EXP. SOF BUTTE 71.20

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Date: 2/18/2011

Adv Pro No: 10-04898 (BRL) Exhibit 1 Redacted Version

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#### HELENE SAREN-LAWRENCE

HELEN DAVIS CHAITMAN, ESQ. BECKER & POLIAKOFF, LLP 45 BROADWAY NEW YORK NY 10006

Counsel - 000724 004625

HELENE SAREN-LAWRENCE NEW YORK NY 10022

000724 004626